		THAT EACH PETIPTO IN 50 (IN NO 500)						
FORM PTO-1390 U.S. DEPARTMENT OF (REV 10-2000)	MERCE PATENT AND TRADEMARK OFFICE	HH 5US						
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (If known_see 37 CFR 1.5)						
	NG UNDER 35 U.S.C.371	10/019839						
NTERNATIONAL APPLICATION	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
PCT/DE00/02256	04 July 2000 (04.07.00)	07 July 1999 (07.07.99)						
TITLE OF INVENTION BEHIND THE EAR HEARIN	NG AID WITH FRONT PLATE	(as amended)						
APPLICANT(S) FOR DO/EO/US								
Hans-Dieter BOROWSKY								
••	States Designated/Elected Office (DO/EO/US							
1. This is a FIRST submission	on of items concerning a filing under 35 U	U.S.C.371.						
2. This is a SECOND or SU	BSEQUENT submission of items concer	rning a filing under 35 U.S.C. 371.						
3.  This is an express request	to promptly begin national examination p	procedures (35 U.S.C. 371(f)).						
4. The US has been elected b	by the expiration of 19 months from the p	priority date (PCT Article 31).						
_	Al Application as filed (35 U.S.C. 371(c)(2							
	a. $\square$ is attached hereto (required only if not communicated by the International Bureau).							
	cated by the International Bureau.	momational Barbaay.						
	he application was filed in the United Sta	tes Pasaiving Office (PO/LIS)						
	slation of the International Application as	i i i i i i i i i i i i i i i i i i i						
_	s of the International Application under P	FV. 1						
	(required only if not communicated by the	ne International Bureau).						
•	icated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. A have not been made	e and will not be made.							
8. An English language trans	lation of the amendments to the claims u	nder PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. 🗷 An oath or declaration of t	the inventor(s) (35 U.S.C. 371(c)(4)). (u)	nexecuted) (3 Sheets);						
10. An English language trans	slation of the annexes to the International							
PCT Article 36 (35 U.S.C. 3	71(c)(5)).							
Items 11. to 16. below concern docu		•						
		(2 sheets) with Form PTO-1449 (1 sheet)						
and 2 cited references (3 sheets)								
12. An assignment document included	for recording. A separate cover sheet in a	compliance with 37 CFR 3.28 and 3.31 is						
13. A FIRST preliminary ame (2 sheets));	ndment (4 sheets) (along with vers	sion of markings to show changes						
☐ A SECOND or SUBSEQU	JENT preliminary amendment.							
14. A substitute specification.								
15. A change of power of atto	•							
Application W/O 01/05190 A2 Report (3 sheets); Ineternation	2 (without International Search I nal Preliminary Examination Re	ets in duplicate); International Published Report) (9 sheets); International Search eport (6 sheets); Check in the amount of ass Mailing (1 sheet); and Return						

1 C C JC13 Res'd PCT/PTO Q 2 JAN 2002

U.S. APPLICATION NO. (if		PCT/DE00/0225			HHI-035	S DOCKET NO.	
	1985	T CT/DE00/0223		CA	LCULATIO		
17. The following fees are submitted:					LCULATIO	TO USE ONL!	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) .(a/o November 1, 2000):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  and International Search Report not prepared by the EPO or JPO\$1000							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO\$710							
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690							
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100					21 <u>- 177 - 77</u>		
ENTER APPROPRIATE BASIC FEE AMOUNT =					0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than \( \subseteq 20 \) months from the earliest claimed priority date (37 CFR 1.492(e)).					0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	5-20 =	0	X \$18.00	\$			
Independent claims	<b>2-</b> 3 =	0	X \$80.00	\$			
MULTIPLE DEPEN	NDENT CLAIM(S) (if		+ 270.00	\$			
	TOTAL OF ABO	OVE CALCULATION	ONS =	\$99	0.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$49	5.00		
		SUBTOTA	<b>A</b> L =	\$49	5.00		
Processing fee of \$130.00 for furnishing the English translation later than \( \Delta \) 20 \( \Delta \) 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +							
		OTAL NATIONAL		\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
TOTAL FEES ENCLOSED =			\$49	5.00			
					ount to be: refunded	\$	
					charged	\$	
a. E Checks in the amount of \$ 495.00 to cover the above fees are enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. E The Commissioner is hereby authorized to charge any additional fees which may be required, or credit							
any overpayment to Deposit Account No. 12-0080 . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Anthony A. Laurentano, Esq. SIGNATURE							
LAHIVE & COCKFIELD, LLP Anthony A. Laur					tano		
28 State Street Boston, Massachusetts 02109 NAME 38,220							
United States of America REGISTRATION NUMBER							
(617)227-7400							
Date: 02 Janua	ry 2002						

## IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US) (National Phase of International App.: PCT/DE00/02256, W/O 01/05190)

In re the

application of: Hans-Dieter BOROWSKY

International Application No.: PCT/DE00/02256

International Filing Date: 4 July 2000

U.S. Serial No.: Not Yet Assigned

Filed: Herewith

For: **BEHIND THE EAR HEARING AID WITH** 

FRONT PLATE (amended)

Attorney Docket No.: HHI-035US

## **BOX PCT**

Commissioner for Patents Washington, D.C. 20231

## Certification Under 37 CFR 1.10

## I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (4 sheets (along with version of markings to show changes (2 sheets)); Unexecuted Declaration, Petition and Power of Attorney (3 sheets); English Translation of International Application as filed (5 sheets); International Published Application W/O 01/05190 A2 (without International Search Report) (9 sheets); International Search Report (3 sheets); Ineternational Preliminary Examination Report (6 sheets); Information Disclosure Statement (2 sheets) with Form PTO-1449 (1 sheet) and 2 cited reference (3 sheets); check in the amount of \$495 (Filing Fee) based on small entity; Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. EL 892 219 746 US, under 37 CFR 1.10 on the date indicated below and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date:	02 January 2002		
Name:	Larry Taylor	Signature: Why I my	7